

10/067,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chunhua YAN et al.

Art Unit: 1652

Serial No.: 10/067,977

Examiner: M. Rao

Filed: February 8, 2002

Atty. Docket: CL001313

For: ISOLATED HUMAN KINASE PROTEINS,  
NUCLEIC ACID MOLECULES ENCODING  
HUMAN KINASE PROTEINS, AND USES  
THEREOF

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR  
§1.48(a), STATEMENT FROM PERSON BEING DELETED AS AN  
INVENTOR, AND WRITTEN CONSENT OF ASSIGNEE**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please correct the inventorship of the above-identified application under 37 CFR  
§1.48(a) as follows:

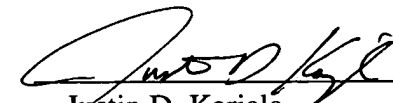
The correct inventive entity is Zhaoxi Ke as sole inventor. Accordingly, please  
delete Chunhua Yan as an inventor.

A declaration naming the correct inventive entity (Zhaoxi Ke as sole inventor) and  
executed by the actual inventor (Zhaoxi Ke) is submitted herewith.

Please charge the processing fee set forth in §1.17(i) to Deposit Account No. 50-  
0970.

Respectfully submitted,

Date: October 31, 2003

  
Justin D. Karjala  
Reg. No. 43,704

10/067,977

The original inclusion of Chunhua Yan as an inventor in the above-identified application occurred in error and without deceptive intention.

Respectfully submitted,

Date: 10/31/03

Chunhua Yan

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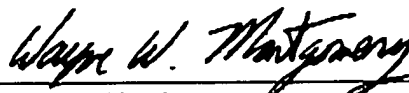
TECH CENTER 1600/2900

The assignee of the above-identified application [PE Corporation (NY)] authorizes that the inventive entity be corrected as indicated above, by deleting Chunhua Yan so that the inventive entity, as corrected, is Zhaoxi Ke as sole inventor.

The undersigned is an officer of the assignee and therefore has the authority to act on behalf of the assignee.

A copy of the executed assignment (as well as a copy of the assignment cover sheet and the USPTO Notice of Recordation of Assignment Document) is submitted herewith to establish the assignee's ownership of the above-identified application.

Respectfully submitted,

Date: 10/31/03Wayne W. Montgomery  
Vice President, Intellectual Property

Celera Genomics Corporation  
45 West Gude Drive, C2-4#20  
Rockville, MD 20850  
Tel: 240-453-3067 / Fax: 240-453-3084

## Attachments:

- declaration
- one copy of each of the following: executed assignment, assignment cover sheet, and USPTO Notice of Recordation of Assignment Document



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RULE 63 (37 C.F.R. 1.63)  
INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ISOLATED HUMAN KINASE PROTEINS, NUCLEIC ACID MOLECULES ENCODING HUMAN KINASE PROTEINS, AND  
USES THEREOF.**

the specification of which (check applicable box(s)):

☐ is attached hereto

☒ was filed on February 8, 2002 as U.S. Application Serial No. 10/067,977

☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

| Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|--------------------|---------|----------------------|

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

| Application Number | Date/Month/Year Filed |
|--------------------|-----------------------|
|--------------------|-----------------------|

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S./PCT Application(s):

| Application Serial No. | Day/Month/Year Filed | Status: patent d<br>pending, abandon d |
|------------------------|----------------------|--|
|------------------------|----------------------|--|

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **CELERA GENOMICS, Attn: Wayne Montgomery, J.D., Vice President, Intellectual Property, 45 West Gude Drive C2-4#20, Rockville, Maryland 20850, telephone number (240) 453-3067 (Customer No. 25748, and to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Wayne Montgomery, J.D. (Reg. No. 35,016), Rekha Bansal (Reg. No. 36,440), Lin Sun-Hoffman (Reg. No. 47,983), and Justin D. Karjala (Reg. No. 43,704). I also authorize CELERA GENOMICS to delete any attorney names/numbers no longer with the company and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to CELERA GENOMICS on behalf of the owner(s).

1. Signature: \_\_\_\_\_

Zha xi KE

Date: 10/31/2003

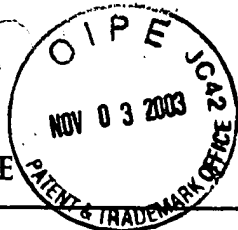
Citizenship: US

Residence Address: Rockville, MD

Post Office Address: c/o Celera Genomics, 45 West Gude Drive, Rockville, MD 20850



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



JULY 20, 2002

PTAS

Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.gov

CELERA GENOMICS  
ATTN: WAYNE MONTGOMERY, J.D., VICE  
PRESIDENT, INTELLECTUAL PROPERTY  
45 WEST GUDE DRIVE, C2-4#20  
ROCKVILLE, MARYLAND 20850-1159



\*102100354A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/13/2002

REEL/FRAME: 012904/0166  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
YAN, CHUNHUA

DOC DATE: 05/13/2002

ASSIGNOR:  
KE, ZHAOXI

DOC DATE: 05/09/2002

ASSIGNEE:  
PE CORPORATION (NY)  
301 MERRITT 7, P.O. BOX 5435  
GLOBAL HEADQUARTERS  
NORWALK, CONNECTICUT 06856-5435

SERIAL NUMBER: 10067977  
PATENT NUMBER:

FILING DATE: 02/08/2002  
ISSUE DATE:

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SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

5/13/02

05-22-2002

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Chunhua YAN
2. Zhaoxi KE
- 3.
- 4.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1. 5/13/02; 2. 5/9/02

2. Name and address of receiving party(ies)

Name: PE CORPORATION (NY)

Internal Address: Global Headquarters

Street Address: 301 Merritt 7  
P.O. Box 5435

City: Norwalk, State: CT Zip: 06856-5435

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/067,977 (CL001313)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Celera Genomics

Internal Address:

Attn: Wayne Montgomery, J.D.  
Vice President, Intellectual Property

Customer Number 25748

Street Address: 45 West Gude Drive, C2-4#20

City: Rockville, State: Maryland Zip: 20850-1159

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0970

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Justin D. Karjala, Reg. 43,704

Name of Person Signing

Signature

May 13, 2002

Date

05/21/2002 DBYRNE 00000202 500070 10000000

01 FC:581

40.00 CH

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

# **ASSIGNMENT OF PATENT APPLICATION**

(Inventors) **Chunhua YAN**  
**Zhaoxi KE**

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby sell(s) and assign(s) to **PE CORPORATION (NY)**, a New York corporation, located at Global Headquarters, 301 Merritt 7, P.O. Box 5435, Norwalk, CT 06856-5435 (the Assignee) his/her entire right, title and interest

check applicable box(es)

- ☒ for the United States of America (as defined in 35 U.S.C. Sec. 100),  
☒ and throughout the world

in the invention(s) known as

**ISOLATED HUMAN KINASE PROTEINS, NUCLEIC ACID MOLECULES ENCODING HUMAN KINASE PROTEINS, AND USES THEREOF**

for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed on \_\_\_\_\_ or has already filed U.S. Application Serial No. **10/067,977** on **February 8, 2002**, in any and all applications thereon in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations, divisions and continuations of such applications or Letters Patent(s) to the full end of the term or terms for which such Letters patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee the same as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

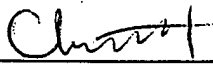
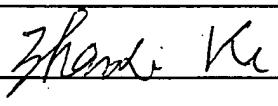
The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing, divisional, reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning the application(s) or any continuation, division, reissue or reexamination thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby covenant(s) that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Wayne Montgomery, J.D. (Reg. No. 35,016), Rekha Bansal (Reg. No. 36,440), Lin Sun-Hoffman (Reg. No. 47,983), and Justin D. Karjala (Reg. No. 43,704), telephone (240)453-3067, with a mailing address at: **CELERA GENOMICS**, Attn: Wayne Montgomery, J.D., Vice President, Intellectual Property, 45 West Gude Drive C2-4#20, Rockville, MD 20850-1159, power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, executed by the undersigned on the date(s) opposite the undersigned name(s).

|   |                  |       |       |
|---|------------------|-------|-------|
|  | <u>5/13/2002</u> | _____ | _____ |
| Chunhua YAN   | Date:            | _____ | Date: |
|  | <u>5-9-2002</u>  | _____ | _____ |
| Zhaoxi KE   | Date:            | _____ | Date: |
| _____   | Date:            | _____ | Date: |
| _____   | Date:            | _____ | Date: |
| _____   | Date:            | _____ | Date: |
| _____   | Date:            | _____ | Date: |